

The
LungAmbition
Alliance



INITIATIVES IN LUNG CANCER CARE

ILC²

THE LUNG AMBITION ALLIANCE GLOBAL GRANTS PROGRAM

2021/22

Z4-36307 Prep: August 2021

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OVERVIEW

Initiatives in Lung Cancer Care (ILC²) is an important Lung Ambition Alliance program that aims to support patient and caregiver initiatives that will improve the quality of care and close gaps in the patient experience of lung cancer care around the world.

ILC² is looking to fund innovative, promising, and proven projects around the world that can be supported and scaled to transform patient outcomes. It is deliberately ambitious, and we intend to learn throughout the program about what works in achieving our goal.

The program has been created in recognition of the high variation in lung cancer care management around the world and specific local barriers to quality patient-centric solutions.

The projects supported by the fund will help change the pace of progress in lung cancer survival, contributing to the goals of the Lung Ambition Alliance to improve 5 year survival rates and eliminate lung cancer as a leading cause of death.

This year, we are excited to include projects related to small-cell lung cancer (SCLC) within the broader grant program.

Over the last 2 years, 26 projects from 17 countries received support to address various points along the patient journey. Further details can be found at Lung Ambition Alliance webpage <https://www.lungambitionalliance.com/improved-quality-care/initiatives-in-lung-cancer-care.html>.

ILC² grant applications will be reviewed and selected by the founding partners of the Lung Ambition Alliance: the Global Lung Cancer Coalition, Guardant Health, the International Association for the Study of Lung Cancer, and AstraZeneca. Funding for the ILC² grant is provided by AstraZeneca.



We aim to:

- Fund projects that drive measurable improvements across the patient journey, from early detection to palliative care or survivorship, in alignment with the Lung Ambition Alliance strategic pillar, *Enhance Quality of Care*.
- Have a positive impact on the quality of life of patients and caregivers involved in the projects
- Learn about what really works, build the evidence base, and share that knowledge

We hope to generate:

- Case studies and other evidence of promising approaches
- Opportunities for future scaling and investment
- A greater supply of effective interventions to improve access to screening, cutting-edge care, and survivorship

To achieve this, we are seeking grant applications for projects that:

- Are ambitious and aligned with the Lung Ambition Alliance's objectives
- Focus on quality of care for patients at risk of, or living with lung cancer
- Involve patients or focus on the experience and needs of patients or caregivers
- Have an evidence base, or are innovative and have an intention to build the evidence base, with potential for scaling or replication
- Engage patients in advocacy activities
- Provide peer-to-peer support
- Create digital content highlighting the needs of patients with SCLC
- Show potential to leverage future funding or influence the care system to improve outcomes for patients
- Go beyond risk reduction to support patients at different stages after first diagnosis
- Address disparities in diagnosis and treatment in order to improve outcomes for patients
- Cover a diverse range of geographic locations



HOW TO APPLY

Who can apply and what is the process?

Applications
must be submitted by
—
20 October 2021

Grant applications are welcome from charitable or non-profit organisations worldwide which are involved in the care and support of patients with lung cancer. Organisations should be registered as a charity or non-profit in their country.

Current ILC² grantees may apply again this year, but only for a new program, not for an extension of their currently funded program as there is still much to learn from the existing projects. In addition, we wish to discover new and innovative improvements in lung cancer care.

Applications must be submitted by midnight on 20 October 2021 (in your time zone) via the online portal, located on the Lung Ambition Alliance website [here](#).

Further details about the application process and lifecycle can be found under *Application Lifecycle* (page 8) of this document.

Priority will be given to projects that:

- Align to Lung Ambition Alliance objectives (find out more at LungAmbitionAlliance.com)
- Are well thought through, with realistic and measurable outcomes
- Demonstrate a high potential for impact on patients
- Move from ideas into action or build on existing success
- Are innovative
- Have the potential to scale up or influence policy
- Are in low- or middle-income countries

Funding support cannot be given to:

- Individuals, health care providers, medical group practices, and public officials
- Organisations that:
 - Discriminate on the basis of age, race, color, religion, national origin, gender, sexual orientation, marital status, military service, veteran status, disability, or other unlawful basis
 - Promote political or religious viewpoints to their beneficiaries
 - Use the funds to make grants or donations to other organisations or individuals
 - Are unable to supply bank details or report back on outcomes
 - Are not registered as charitable or non-profit in their country
- Initiatives already funded through other AstraZeneca or Guardant Health programs (such as the Young Health Programme)
- Projects that provide treatment or pay for medicines
- Projects that benefit AstraZeneca's or Guardant Health's commercial business
- Applications in USD <\$100,000
- Retrospective funding
- Capital projects or building appeals
- Any other activities prohibited under local standards
- Research projects or projects which do not feature patient-care outcomes within the timescale of the grant

How much can you apply for?

ILC² offers 3 categories of funding, which vary according to the size of the applicant organisation, the starting point for your project, and the scale of reach and impact that you hope to achieve.

CATEGORY 1

Requests up to
USD \$15,000

Early-stage innovation
and capacity building
(<\$15,000)

These projects are typically:

- Early-stage projects that might be scoping or testing new approaches that appear to work locally
- Projects with potential for future learning or scaling up
- Projects led by smaller organisations

CATEGORY 2

Requests up to
USD \$15,001-\$25,000

Developing and testing
promising approaches at
regional/sub-national level
(\$15,001-\$25,000)

These projects typically:

- Build on previous activities that have been successful at a local level
- Present robust data and evidence on patient need and how the activities and outcomes may meet this need
- Offer potential to influence regional or national policy
- Are able to leverage new direct partners as part of the project

CATEGORY 3

Requests up to
USD \$25,001-\$100,000

Strategic projects for
partnerships and
larger organisations
(\$25,001-\$100,000)

These projects typically:

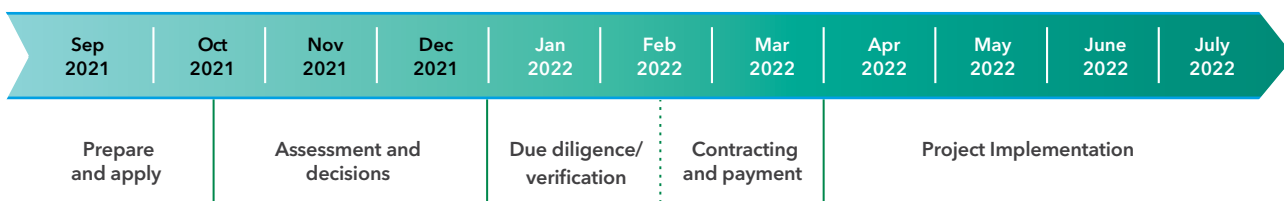
- Take place at a regional or national level
- Include a higher number of beneficiaries than the other categories
- Are ambitious, high potential, and/or strategic projects
- Are based on robust data and evidence (eg, including a logic model)
- Offer potential for further scaling or replication
- Offer potential to influence regional or national policy
- Are able to satisfy a higher level of due diligence and reporting
- Could be made up of strategic partnerships, larger organisations, or consortia of local partners (not essential)

Please note, the level of impact and financial reporting will vary across the categories.

Category 3 projects will have a higher level of due diligence and reporting.

APPLICATION LIFECYCLE

We have set out below the timeline we plan to work to. Decisions and awards could change based on the volume and quality of applications.



How will we decide which projects to fund?

ILC² will fund inspiring projects that show potential to meet our program aims and outcomes. To achieve this, ILC² has a decision-making process which builds in expertise in grant-making and lung cancer care.

We anticipate high numbers of applications, and regret that not all eligible projects will be able to be supported.

Shortlisted applications will be reviewed by the Lung Ambition Alliance panel, who will decide which projects to fund.

Successful applicants will then be contacted by Charities Aid Foundation (CAF) to undergo a due diligence check to ensure that the organisations have a charitable purpose and the funds can be legally transferred for that purpose.

Before you apply, please ensure that you are able to provide the required documents. Typical documents required for due diligence checks include: annual financial accounts, governance documents, personal details of your organisation's directors and financial controllers, a certificate of registration as a non-profit organisation, and a recent transactional bank statement.

Reminder: all documents are required in English; see the *FAQs Section (pages 14-17)* in this document should you require help with translation.

We anticipate that ILC² will not be able to award funding to all organisations that apply. If this is the case, you will receive a response from us and, where possible, we will offer feedback.

What happens once a project is approved?

If the due diligence check is positive, your organisation will be offered a grant, support, and advice. The funding will be transferred, and monitoring and reporting requirements will be agreed to as part of a signed grant agreement.

PROJECT REPORTING

- Grantees will be required to submit a progress report during the lifetime of the project
- When your project is complete, we will ask you to complete a final report and presentation outlining how funds have been spent, what you have achieved, and the difference the funding has made
- We may also contact you after the end of the project to determine the long-term impact of the project
- Further independent financial reporting will be required for Category 3 grants
- Please note the Lung Ambition Alliance will publish information about the awarded grants on external websites and may contact you to develop a case study



WHAT WE WOULD LIKE TO SEE IN YOUR PROJECT

This section gives more background information on the kinds of things we would like to see in projects.

You can read more about the ILC² projects that are currently in progress [here](#).



Target health outcomes

Lung cancer is the leading cause of cancer-related death.¹ 40% of patients are diagnosed after the disease has spread beyond the lung.² Only one in five patients are alive 5 years after initial diagnosis.³

According to the Centers for Disease Control and Prevention, SCLC makes up ~15% of lung cancers overall, with most people diagnosed after the age of 65 and at a later stage. While we have seen significant advancement in the treatment of non-small cell lung cancer, SCLC's poor prognosis and the added burden of tobacco's social stigma has meant much more limited support for this population with almost no presence in patient advocacy.

There remains considerable variation in the management of lung cancer around the world. Access for patients to important diagnostics, treatments, and support programs varies markedly.

We are looking for innovative and proven ideas to improve the availability of quality care, and the patient outcomes and experience, in your local area.

Applications must show a clear link between the local need and the project outcomes, and how they will measure effectiveness of improving care for SCLC or NSCLC patients.



Types of projects

We are interested in receiving applications for the following types of projects:

- Projects mostly aimed at supporting patients and/or caregivers
- Projects mostly aimed at advocating for patients and/or caregivers
- Projects with both support and advocacy components

The patient experience

We are interested in receiving applications that can address arising barriers and difficulties across the whole patient journey:

- From detection of early symptoms to diagnosis of the disease
- From diagnosis through the whole experience of treatment and care
- Across the impact of the disease on the family, and social and professional life



Social innovation

We expect that innovation and fresh thinking will be required to meet the scale of the challenge we face to reduce the variations in lung cancer care globally. We are therefore interested in applications for projects proposing new models and concepts that can be scaled up to deliver major change.

Some of these may be early-stage projects for the smaller grants. You can read more about the kinds of innovation-focused activities we are looking to fund, including further information on theory of change, in the [NESTA innovation toolkit](#).

1. World Health Organization. International Agency for Research on Cancer. Fact sheet - world. <http://gco.iarc.fr/today/data/factsheets/populations/900-world-fact-sheets.pdf>. Accessed August 20, 2021.

2. EpiCast Report: NSCLC Epidemiology Forecast to 2025. GlobalData. 2016.

3. Cancer.Net. Lung cancer - non-small cell - statistics. www.cancer.net/cancer-types/lung-cancer-non-small-cell/statistics. Accessed August 20, 2021.



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COMPLETING YOUR APPLICATION

The online portal

To access the ILC² application form on the online portal for the first time, please click [here](#). You will be taken to a website hosted by CAF's carefully selected partner, Blackbaud Grant Making.

Following registration, you will need to complete a short series of questions to ensure that you are eligible to apply. This is designed to save you time and avoid poorly targeted applications.

The application form can be saved and returned to on an ongoing basis. You do not need to complete the application in one sitting; you can return to your saved application form using the link to the online portal [here](#).

The online application form **must be submitted by the deadline of midnight on 20 October 2021 (in your time zone)** in order to be considered for funding.

The online portal (cont'd)

The application form asks you for organisational, financial, governance information, and details of the project that you are seeking funding. The form structure is the same for all organisations, but more in-depth project and budget information is requested for Category 3.

If you choose to submit your application, you will be asked to **upload 3 documents** that provide information about your organisation. These documents **must** be provided in English and are:

- 1 Your governing document refer to the *FAQs Section (page 17)*
- 2 Your registration certificate as a non-profit organisation
- 3 Your most recent signed annual accounts

Before submitting your application, you will be asked to agree to the privacy statement, giving consent for data to be shared with our partners for the purposes of the grant program.

Refer to the *FAQs Section (pages 14-17)* for further information on the documents required. If you would like further advice on this, please contact ILC² via LungAmbitionAllianceILCC@cafonline.org.

GRANT APPLICATION ADVICE

To strengthen your application, we recommend that you:

- Apply for funds at a level appropriate to the scale, size, and impact of your project and organisation
- Be clear about the rates of lung cancer in your region or country
- Provide comprehensive, detailed information about your project
- Demonstrate your track record and expertise in your project's focus area
- Explain in detail how you can track the impact of your project, including baseline measurements
- Make sure that your organisation's website is active and up to date
- Complete all application questions in their entirety
- Upload all documents requested



FAQs

1 Do I need to be a non-governmental organisation (NGO), non-profit or registered charity?

You can be a NGO, non-profit, registered charity, or organisation with a social mission that operates on a non-profit basis. Applications will be considered from any organisation whose mission is exclusively charitable.

Please ensure that you uploaded sign copies of the required documents to ensure your charitable purpose (documents are listed under *Section 4: Completing Your Application*). These documents **must** be submitted as part of the application process.

2 Can a group of organisations apply?

Yes, however, one organisation must take responsibility for completing the application, managing the funds, and reporting on impact as lead partner. The applicant organisation cannot provide sub-grants to the other organisations in the consortium.

There should be a written Memorandum of Understanding between the organisations.

3 Can I apply as an individual or do I need an organisation?

You need to apply through an organisation. We will not provide funding to individuals.

4 Can I submit a project that has a reach and impact beyond lung cancer (a pan-cancer approach)?

We will give priority to projects that have addressed the specific needs for lung cancer patients.

5 I've already received funding from another AstraZeneca or Guardant Health fund. Can I still apply?

Yes, however, this fund is set up to support additional opportunities in the form of new projects. AstraZeneca or Guardant Health funding should not already be supporting the initiative for which you are applying.

6 Can you help me complete my application? Must I apply in English?

We will be able to answer queries related to the program, the completion of your online application form, and submission requirements. Applications, along with supporting documentation and final reporting, must be in English.

Online translation tools can be used as needed to prepare your application and documentation. Please be reassured that your application is not assessed on your English fluency. We may, in some circumstances, be able to offer help with translation of documents. Please contact LungAmbitionAllianceLCC@cafonline.org.

7 Can I apply for costs that have already taken place?

No, expenditure will occur after the grant is awarded. Given the application lifecycle, we recommend projects are not intended to start before April 2022.

8 How much funding can I apply for, and in which currency?

Please note that grant decisions will be based on the merits of all applications received, as well as the total funds available. All costs associated with the project should be included in your budget, including applicable staffing or overheads. There is no ratio for indirect costs.

Part of the assessment will focus on the transparency and breakdown of your costs, and partial funding may be awarded in some cases. **Please request an appropriate level of funding according to your project needs** in line with the categories available, and include a breakdown of your planned project costs.

Grants will be awarded up to the appropriate category level in USD, therefore, you must request funding in USD. It is then possible to request payment in your local currency; this will be calculated using the exchange rate from USD on the date of payment.

9 What is the Lung Ambition Alliance?

The Lung Ambition Alliance is made up of four distinct organisations (Global Lung Cancer Coalition, the International Association for the Study of Lung Cancer, Guardant Health, and AstraZeneca), all with extensive and complementary experience in helping patients and health care professionals manage lung cancer. They stand together to better understand how the disease evolves, develop advanced therapeutic techniques, and ultimately empower and enable patients to live longer and better lives. You can read more about the Lung Ambition Alliance [here](#).

10 CAF is involved in this program. Who are they and what is their role?

Charities Aid Foundation (CAF) is our partner providing the online platform and grant-making services. You can read more about CAF [here](#).

11 Can I send in supporting information? Photos, videos, etc?

Yes, we are happy to see video and photos, which you can upload as part of the application form, if they help to illustrate your funding request. They should not replace the need for full answers to the questions asked on the application form.

12 For Category 3 requests, the application form asks me to upload a one-page work plan. What should I include in my work plan?

We would expect your work plan to include key milestones and objectives, activities planned, outputs, and start and end dates. This information will be used to help us assess the effectiveness of your planned activities and grant request.

13 Are there any other funding criteria I should be aware of within my application?

The grant request, in most cases, should not exceed 10% of the applicant organisation's annual revenue as stated in your annual accounts. However, we may fund some projects where funding is above this level. If you are requesting funding in excess of 10%, please provide information on your ability to manage this funding.

Multiple applications are allowed from the same organisation, but it is unlikely that more than one project will be selected and funded from any one organisation.

14 How long should my project last?

Projects should start after April 2022 and be undertaken and completed within 12 months (for category 1 and 2 projects) and within 24 months (for category 3 projects only). We are unable to fund retrospectively for projects which have started prior to April 2022.

15 Is the deadline final?

Yes, please make sure you submit your application by midnight (in your local time zone) on 20 October 2021.

16 I applied but did not receive a grant last year. Can I reapply for a later grant cycle?

Yes, your application will be strengthened if you ensure that your project is closely aligned with the priorities, eligibility for this programme as outlined above, and if you provide detailed information with your answers on the application form.

17 What documents will I need to provide for due diligence if I am selected?

If your project is selected, CAF will then ask you for documentation to complete the due diligence and verification checks. This is to ensure the grants meet legal requirements on international charitable donations. You must complete this stage before funds can be delivered. Documents may include a copy of your organisation's governing document, a certificate of charitable registration in your country, most recent annual financial accounts, a transactional bank statement from the last 3 months as proof of your organisation's bank account, and details of your Trustees/Directors' full names, residential addresses, and dates of birth. Further information may be required in some cases and you will be told of this if your project is shortlisted.

Once these checks have been completed, you will find it easier to receive other international donations through CAF, if appropriate.

18 What is a governing document?

This is likely to vary by country.

Your governing document is the document that sets out the rules of your organisation. It could be your constitution, articles of association, bylaws, statutes, trust deed, articles of incorporation, or other legal document explaining the purpose of your organisation and how it works. It must include a charitable dissolution clause (ie, what would happen to your assets if your organisation closes down).

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